

Full Business and Trade name of Juristic Representative

EasyProperties (Pty) Ltd. EasyProperties has been mandated as a juristic

representative to render financial services on its behalf via the EasyProperties Platform.

Registration number: 2019/184453/07

Full Business and Trade name: First World Trader (Pty) Ltd t/a EasyEquities

FSP license Number: 22588

Licensed financial services: Category I and Category II

Conditions/restrictions:1. The FSP must inform the Registrar of any change i.r.o. business information of the FSP.

2. The FSP must at all times maintain the services of any Key Individual and ensure full compliance with Section 8 (4)(b) of the Act.

The FSP must within one month of the date contemplated in Section 7 of the Act, inform the Registrar of any change effected to the details as contained in the register.

 The FSP must not change the name of the business as reflected on the licence, unless certain conditions are met and the Registrar has issued an appropriately amended licence.

5. The FSP must at all times ensure that any financial product i.r.o. which the FSP intends to render a financial service is lawfully issued.

6. The FSP must disclose that:

 Spread and CFD transactions entered into on a principal-to-principal basis

Transactions aren't regulated in terms of FAIS

 All trades are conducted on the FSP's prices and not done directly on the exchange

A full list of the conditions is available on request from the Compliance Officer.

Registration number: 1999/021265/07

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Physical address: 16th Floor, 25 Owl Street, Braamfontein Werf, 2092

Telephone: +27 87 940 6000

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Physical Address Postal Address Contact

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Website: http://www.easyequities.co.za

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COMPLIANCE DEPARTMENT

Name: Mrs. Sashika Adsetts (LLB CFP Ccm)

Company: Moonstone

Telephone: +27 12 653 6029

Licensed financial services: Category I and II

E-mail: <u>sadsetts@moonstonecompliance.co.za</u>

COMPLAINTS DEPARTMENT

Name: Rika Pieterse

Telephone: +27 (0) 87 940 6000

E-mail: helpme@easyequities.co.za

FAIS Exemptions: 1. Exemption on services under Supervision in terms of the requirements and

conditions of obtaining experience (BN 95 of 2003).

2. Exemption of licensees as regards display certified copies of licenses (BN

40 of 2004)

Fidelity Insurance Cover: R10 000 000.00

Category Description	Advice Non- Automated	Advice Automated	Intermediary Scripted	Intermediary Other
CATEGORY I- Advice and Intermed	liary Services			
Long - Term Insurance: subcategory	Х	X		
Short-Term Insurance Personalines	Х	Х		Х
ong-Term Insurance subcategory	Х	Х		X
ong-term insurance subcategory	Х	Х		Х
ong-term Insurance subcategory	Х	Х		Х
ong-term Insurance subcategory	Х	Х		X
Short-term Insurance Personal ines A1	Х	Х		Х
Structured Deposits		Х		Х
Participatory interest in a hedge und		Х		Х
ong-Term Insurance: Category C	Х	Х		Х
Retail Pension Benefits	Х	Х		Х
Pension Funds Benefits	Х	Х		Х
Shares	Х	Х		Х
Bonds	Χ	Χ		Х
Derivative instruments	Х	Χ		Х
Money Market instruments	Χ	Χ		Х
Debentures and securitised debt	Χ	Χ		Χ
Varrants, certificates and other nstruments	X	X		X
Participatory interests in Collective nvestment Schemes	Х	X		X
Short-Term Deposits	Х	Х		Х
CATEGORY II- Discretionary FSP				
Participatory interest in a hedge und				X
Shares				Χ
Bonds				Χ
Derivative instruments				Х
Noney Market instruments				Χ
Debentures and securitised debt				Х
Varrants, certificates and other nstruments				Х
Participatory interests in Collective nvestment Schemes				Х